

2019 Work Plan Evaluation Report.

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Introduction

The 2019 work plan outlined detailed action plans and clearly mapped performance indicators that are time bound developed to guide the successful implementation of the NEITI strategic objective during the year. The work plan was developed in accordance with the key requirement of EITI reflecting national priorities and inputs from Government, Companies and the Civil Societies.

Overall Objective

The evaluation report objective is to assess the level of compliance and implementation of the work plan within the reporting period. The purpose is to ensure optimum compliance with the requirement 1.5 of the EITI standard, and to sustain the international best practice & process of performance review and level of implementation within the reporting year.

2019 Work Plan Objectives

The 2019 NEITI Work Plan was developed to consolidate on the change mantra of the FGN in ensuring transparency and accountability in the management and application of public resources.

The NEITI Strategic objectives are:

- 1. Deepen openness in the extractive sector through timely audits and other impactful studies.***
- 2. Shape extractive sector and overall governance reforms through policy engagements, thought leadership and inter-agency coordination.***
- 3. To strengthen accountability in the use of extractive revenues through strategic communication with critical stakeholders and empowerment of accountability actors.***
- 4. To develop operational capacity, legitimacy and support through effective administration and human resource management and adequate funding.***

Core National Priority Objectives

The National priority objectives of the Federal Government of Nigeria include:

1. Economic Diversification
2. Corporate governance and Anti-corruption
3. State and Regional Development
4. Infrastructure and Social Development
5. Environmental protection

The work plan is strategically developed and aligned to meeting the national core priority objectives in the areas of National Economic Diversification and Improving Corporate Governance and anti-corruption reforms in addition to:

1. Deepen the ongoing transparency and accountability drive in the extractive sector.
2. Ensure International best practice in EITI Implementation, governance and resource management.
3. Promote the needed debate and advocacy for reforms in the country's extractive industry

Evaluation Methodology

Modified Logical Framework Analysis (MLFA) was adopted for the 2018 work plan Evaluation. The template was populated on the basis of the 2018 approved work plan.

The work plan activities were evaluated on the basis of the information supplied by departments in filling the MLFA template and absolute conformity with the NEITI Financial Guidelines.

Activities evaluated were not necessarily completed within the Scheduled time frame as in the work plan but were completed within the Reporting period.

Basis of Evaluation

1. Activities were measured on the basis status (i.e. **not started, in progress or completed**) within the reporting period.

2. Simple average of activities were taken to determine the success or otherwise of activities on % basis. (i.e.) number of activities in a status divided by the Total number of year activities multiplied by hundred.
3. Activities are considered “Completed” when they are delivered within the reporting period.
4. Activities are considered ‘In Progress’ where financial commitments are made and deliverables are not completed during the reporting period.
5. Activities are considered not ‘started’ Where it has not commenced as at reporting period.

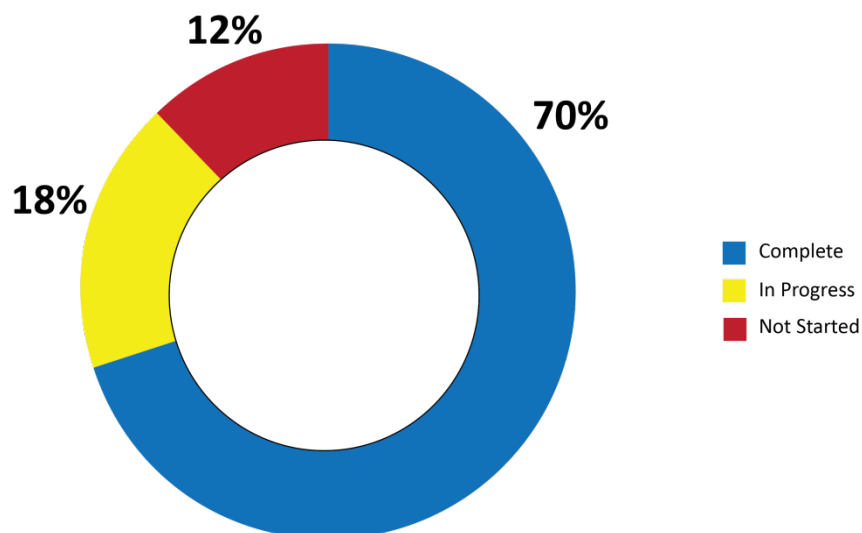
Executive Summary

The 2019 General performance evaluation of the NEITI work plan covers the **period January- December 2019**. The overall performance report indicates that **70%** of the activities were completed, **18%** in progress and **12%** not started as at reporting period.

The 2019 evaluation shows a slight performance improvement in all aspect of the work plan implementation

The General performance of the 2019 work plan implementation is represented in the diagram below.

Figure 1: General Performance chart



Objectives Implementation Evaluation.

The Objectives Evaluation Indicates that **Objective 4** was implemented to the later, by providing the required legitimacy, human and financial support for the implementation of the NEITI 2019 work plan activities in accordance with the EITI principles in Nigeria.

Almost 100% activities designed under this objective are completed within the reporting period. The enhanced support in operational capacity, legitimacy was achieved through effective administration and human resource management despite the funding constraints in timely allocation by the FGN.

Objective 3 indicates that **57.1%** of the planned activities within the reporting period were completed 14.3% in Progress and 28.6 % not implemented (NOT STARTED).

The process of strengthen accountability in the use of extractive revenues through strategic communication with critical stakeholders and empowerment of accountability actors require multi-dimensional approach as such stakeholders buy is more desirable in broadening and deepening the process.

However the communication with strategic stakeholders has steadily improved through routine outreach strategy and collaboration.

Objective 2 indicates that **66.7%** of the planned activities were completed 27.8% in progress and 5.5% not started as at the reporting period. This is as a result of the research being conducted that are beyond the reporting period and other studies that require inter agency collaboration and support, in addition to limited funding to commence the research in time which is evidently clear that most of the research are donor funds supported.

Objective 1 indicates that 70% of the planned activities were completed, 20% are in progress and **10%** are not implemented (Not Started); these activities however are Data automation related which is lacking the funding support to implement as at the reporting period.

However significant progress was made with the completion of the 2017 industry audits and the FASD within the reporting period.

Emerging issues in the EITI implementation such as Data Mainstreaming, Commodity Trading and Beneficial ownership disclosure register were also completed and launched within the reporting dates.

Table 1

Strategic Obj	1	2	3	4
Completed	70%	66.7%	57.1%	100%
In progress	20%	27.8%	14.3%	-
Not started	10%	5.5%	28.6%	-

Figure 2: Objectives Evaluation Chart

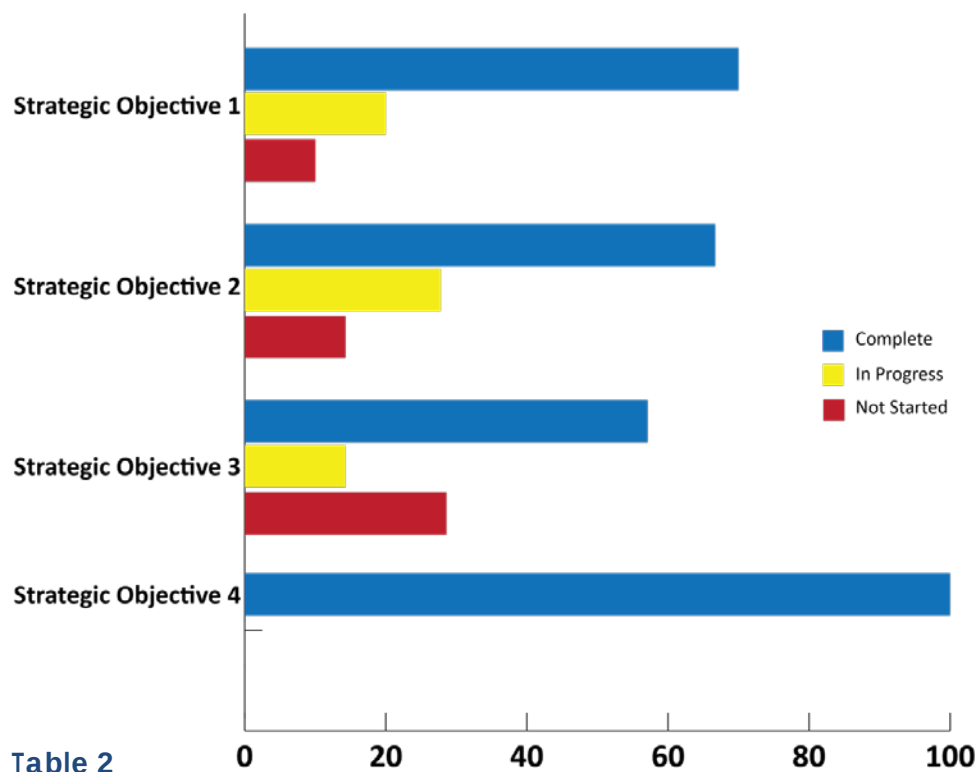
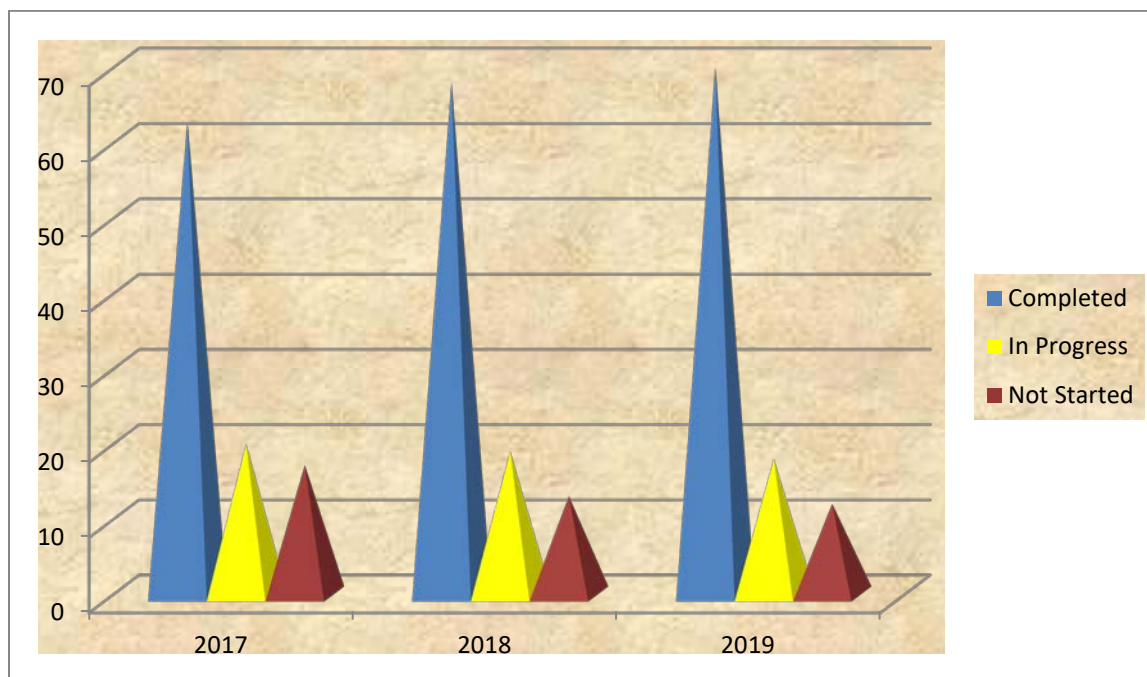


Table 2

General Performance Trend Analysis (3 years)

YEAR	COMPLETED %	IN PROGRESS %	NOT STARTED %
2017	62.85	20	17.15
2018	68	19	13
2019	70	18	12

Figure 3: 5 years General Performance Trend Analysis



The 5 years trend analysis shows stability in the activities from the year 2015-2019 i.e. 71.5% -70%, a slight increase in the activities in progress from 14.8%-18% and a hairline reduction in the activities that have not started, 13.9% - 12%.

The 3 years trend analysis shows a slight increase in the activities implemented between 2017 – 2019 (62.85% - 70%)and a slight reduction in the activities in progress (20% - 18%) and not started (17.15% - 13%).

Generally the year 2019 has been very successful with a positive outlook in 2020.

Risk Factors

NEITI critical success factors in the implementation of our 2018 set objectives are:

1. Funding: Funding has been the major success factor in achieving our mandate thus its timely and regular availability and accessibility will aid successful implementation of our programs and objectives.
2. Management buy in in the evolution process of domesticating monitoring and evaluation at all level in the organization.
3. Limited capacity of personal in the Monitoring and evaluation unit.

Mitigation Factors

1. Donor Funding: NEITI will continue to approach our partners and willing donors to support our programs. Currently the World Bank, Trust Africa and the Ford Foundation have agreed to support some of our projects.
2. Management to set out session for work plan development, the Planning Monitoring &Evaluation unit is to develop template to fit into strategic goal of NEITI to meet the EITI requirement.
3. Capacity building is required for focal persons at departmental level to aid effective work plan development and Monitoring & Evaluation functions ion at the various levels.

Work Plan Activities Status

S/n	Activity	Objective	Start/End Month	KPI	Status of Activity (Items remove from WP should be mark not started.)			Outcome
	From work plan	Strategic	From work plan	From work plan	Not Started	In Progress	Completed	As stated in the work plan
1.1	2017 Oil & Gas Industry Audit	Deepen openness in the extractive sector through timely audits and other impactful studies	Jan-Dec 19	Approved Oil & Gas Report				O&G Report
1.2	2017 Solid Minerals Industry Audit		Jan-Dec 19	Approved SM Report				SM Report
1.3	FASD Audit 2012-2016		Jan-Dec 19	Approved FASD Report				FASD Report
1.4	Conduct gap analysis of available and potential data from relevant MDA required for mainstreaming		Jan-Dec 19					Improve Audit Process
1.5	Organise interactive sessions with relevant government agencies regarding outstanding data (in open format to be hosted on websites of relevant agencies) for the purpose of mainstreaming.		Jan-Dec 19					No. of Reforms Initiated as a result of the Remediation
1.6	Capacity building for relevant staff of MDA on their role in mainstreaming data.		Jan-Dec 19					No. of Reforms Initiated as a result of the Remediation

1.7	Conduct an assessment of the impact of the SM Roadmap Implementation (from the Sector Governance and Revenue generation perspectives) from inception to date)		Jan-Dec 19					No. of Reforms Initiated as a result of the Remediation
1.8	Design and Develop Data Automation System		Jan-Dec 19					Automated data collection system
1.9	Beneficial Ownership Disclosure Register.		Jan-Dec 19					Beneficial Ownership Register
1.10	Commodity Trading Pilot scheme studies		Jan-Dec 19					Study Report
	Summary Objective 1				1/10 (10%)	2/10 20%	7/10 70%	

S/n	Activity	Objective	Start/End Month	KPI	Status of Activity (Items remove from WP should be mark not started.)			Outcome
	From work plan		From work plan	From work plan	Not Started	In Progress	Completed	As stated in the WP
2.1	Manage and Track Implementation of Remediation Action Plan Items from Previous Audit(s)	Shape extractive sector and overall governance reforms through policy engagements, thought leadership and	Jun-Dec	Platform for anti-corruption database developed				No. of remediated issues resolve
2.2	Track and Recommend Steps on Policy Issues from the Audits		Jun-Dec	Report				No. of policy papers issued
2.3	Outcome Assessment of all Remediation Activities		Jun-Dec	Annual Report				Remediation Report
2.4	Update the developed framework (by PPS) for remediation with active participation of TUGAR and anti-corruption agencies		Jun-Dec	Report of meetings and reforms				Updated Framework for remediation

2.5	Round Table engagements on Recommendations from Audits for Oil and Gas, Solid Minerals and FASD	Shape extractive sector and overall governance reforms through policy engagements, thought leadership and inter-agency coordination	Jun-Dec	No. of reforms initiated.					Reports
2.6	Application of 13% derivation in selected Niger Delta communities		Jan-Mar 20	Application Report					Studies Report
2.7	Research: (4 FAAC quarterly reviews, 1 occasional paper, 2 policy briefs & 1 occasional paper)		Mar-Dec	Policy Briefs, Q. Reviews & Papers produced					Policy Papers reviews & research.
2.8	Economic and Environmental Impact of Small Scale Mining Activities in Some Selected States		Mar 19	Mining Impact report					Studies Report
2.9	Policy dialogues (NEITI Validation, Mining/13% derivation, Reforms in the extractive sector, PIB[4-in1], and Contract Transparency		Dec 19	No. Of Policy dialogue hosted					Reports
2.10	Review of Existing Reforms in the Oil & Gas Sector		Dec 19	Reviews report					Industry reforms recommended
2.11	Research on the Impact of Decline in the Prices of Crude Oil on the Oil and Gas Sector		Jun 19	Research Report					Report
2.12	Development of 2019 Annual work plan & 2020 Budget.		Jan & Sept	Work Plan & Budget Developed					Work Plan & Budget Developed
2.13	Implementation of M&E Framework		Jan- Dec	M&E report					Finalized Framework
2.14	Development of 2018 EITI Annual Activity Report		Jun 19	APR Produced					EITI APR Report
2.15	Review of Nigeria's Implementation of the UNCAC (2nd Cycle) and implementation of the Remediation Plan for the 1st & 2nd review Cycle		Jan-Dec	Report and Action Plan					Action Plan for implementation
2.16	Implementation / Monitoring and Evaluation of the National Anti-Corruption Strategy NACS		Jan- Dec	Annual Report					Reduced Corruption Index %

2.17	Corruption Risk Assessment in the Nigerian Ports - Implementation of Integrity Plan		Jan – Dec	Level of Integrity plan Implementation.				Level of Integrity plan Implementation
2.18	Implementation of findings of Scoping Survey / Gap Analysis of the Ethics Framework in Nigeria		Jan- Dec	Report				Ethics Report
	Summary Objective 2				1/18 5.6%	5/18 27.8%	12/18 66.7%	

S/n	Activity	Objective	Start/End Month	KPI	Status of Activity (Items remove from WP should be mark not started.)			Outcome
	From work plan		From work plan	From work plan	Not Started	In Progress	Completed	As stated in the work plan
3.1	Simplification of NEITI Industry Audit reports -Oil, gas, solid minerals and FASD with infographics	To strengthen accountability in the use of extractive revenues through strategic communication with critical stakeholders and empowerment of accountability actors.	Apr-Jun	Country report and action plan No. of reforms				
3.2	Simplification of NEITI Industry Audit reports -in brail language with infographics		Sept.	IATT meetings				
3.3	Validation workshop on the simplified brail language report		Oct	AC Day Celebrations				
3.4	Public presentation of the NEITI Industry Audit reports		Sept	Signed Contract				
3.5	Production of NEITI branded materials for outreach activities		Jan-Dec					
3.6	Presentation of the NEITI reports in the geo-political zones		Jun-Nov	Minutes Of Meetings				

3.7	Sensitization workshop on natural resource governance to the Legislature	To strengthen accountability in the use of extractive revenues through strategic communication with critical stakeholders and empowerment of accountability actors.	Jun- sept	Resolve Issues from the Report				
3.8	Workshop for state exco s and states assemblies on natural resource governance and domestication of the EITI in 6 geo-political zones.		May- Dec					
3.9	Town hall meetings with LGA excos, opinion/Community leaders/NGOs/CSOs/FBOs in the 6 geo-political zones.		May-Nov					
3.10	Youth/ Student Outreach on EITI in 6 Universities /Polytechnic/Colleges of education across 6 geo-political zones.		Apr-Nov	Resolve Issues from the Report				
3.11	Capacity building for Civil Society Organisations.		Jun-Nov	Policy Document				
3.12	Capacity building for the Media.		Jun- Nov	Reviewed Act				
3.13	Capacity building for the Professional Bodies.		Jun-Nov	Develope d WP				
3.14	Capacity Building Videography Specialist.		Sept.	M&E Reports				
Summary Objective 3					4/14 28.6%	2/14 14.3%	8/14 57.1%	

S/n	Activity	Objective	Start/End Month	KPI	Status of Activity (Items remove from WP should be mark not started.)			Outcome
	From work plan		From work plan	From work plan	Not Started	In Progress	Completed	As stated in the work plan

4.1	General Administration & support	To develop operational capacity, legitimacy and support through effective administration and human resource management and adequate funding.	Jan- Dec	Improved Admin system				Improved admin services
4.2	Human Resource Management & Capacity Building		Jan- Dec	Staff Capacity building & skills.				Staff Capacity building & skills.
4.3	Information Technology Support		Jan- Dec	Effective IT Support System				Effective IT Support System
4.4	Audit and Control		Jan- Dec	Improved Control system				Improved Control system
4.5	Procurement & Services		Jan- Dec	Effective & timely Procurement.				Effective & timely Procurement .
4.6	Finance & account Support		Jan- Dec	Effective Finance & Financial Support				Effective Finance & Financial Support
4.7	Monitoring & Evaluation		Jan- Dec	Improved System and Processes				Improved System and Processes
4.8	Legal Service & Compliance Support.		Jan- Dec	Timely compliance and Legal support				Timely compliance and Legal support
Summary Objective 4					0%	0%	100%	

Strategic Objectives	Completed	In Progress	Not Started	Total
Objective 1	7	2	1	10
Objective 2	12	5	1	18
Objective 3	8	2	4	14
Objective 4	8	-	-	8
Total	35	9	6	50
% of Performance	70%	18%	12%	

