2018 Work Plan Evaluation Report.

NEITI 2018

NIGERIA EXTRACTIVE INDUSTRIES TRANPARENCY INITIATIVE.

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Contents

Introduction

The 2018 work plan is an approved document, detailing action plans and clearly mapped indicators that are time bound developed to guide the successful implementation of the NEITI strategic objective during the year. The work plan was developed in accordance with the key requirement of EITI reflecting national priorities and inputs from Government, Companies and the Civil Society.

Overall Objective

The evaluation report objective is to assess the level of compliance and implementation of the work plan within the reporting period. The purpose is to ensure optimum compliance with the requirement 1.5 of the EITI standard, and to sustain the international best practice &process, policy formulation review and implementation within the reporting year.

2018 Work Plan Objectives

The 2018 NEITI Work Plan was developed to consolidate on the change mantra of the FGN in ensuring transparency and accountability in the management and application of public resources.
The Work Plan is intended to:

1. Deepen the ongoing transparency and accountability drive in the extractive sector.
2. Ensure International best practice in EITI Implementation, governance and resource management.
3. Promote the needed debate and advocacy for reforms in the country's extractive industry.

The NEITI strategic objectives are:

1. Deepen openness in the extractive sector through timely audits and other impactful studies.

2. Shape extractive sector and overall governance reforms through policy engagements, thought leadership and inter-agency coordination.

3. To strengthen accountability in the use of extractive revenues through strategic communication with critical stakeholders and empowerment of accountability actors.

4. To develop operational capacity, legitimacy and support through effective administration and human resource management and adequate funding.

National Core Priority Objectives

The National priority objectives of the Federal Government of Nigeria include:

1. Economic Diversification
2. Corporate governance and Anti-corruption
3. State and Regional Development
4. Infrastructure and Social Development
5. Environmental protection

NEITI is strategically aligned to meeting the national core priority objectives in the areas of National Economic Diversification and Improving Corporate Governance and anti-corruption reforms.

Methodology

Modified Logical Framework Analysis (MLFA) was adopted for the 2018 work plan Evaluation. The template was populated on the basis of the 2018 approved work plan.
The work plan activities were evaluated on the basis of the information supplied by departments in filling the MLFA template and absolute conformity with the NEITI Financial Guidelines.

Activities evaluated were not necessarily completed within the Scheduled time frame as in the work plan but were completed within the Reporting period.

Basis of Evaluation

1. Activities were measured on the basis status (i.e. **not started, in progress or completed)** within the reporting period.

2. Simple average of activities were taken to determine the success or otherwise of activities on % basis. (i.e.) number of activities in a status divided by the Total number of year activities multiplied by hundred.

3. Activities are considered “Completed” when they are delivered within the reporting period.

4. Activities are considered ‘In Progress’ where financial commitments are made and deliverables are not completed during the reporting period.

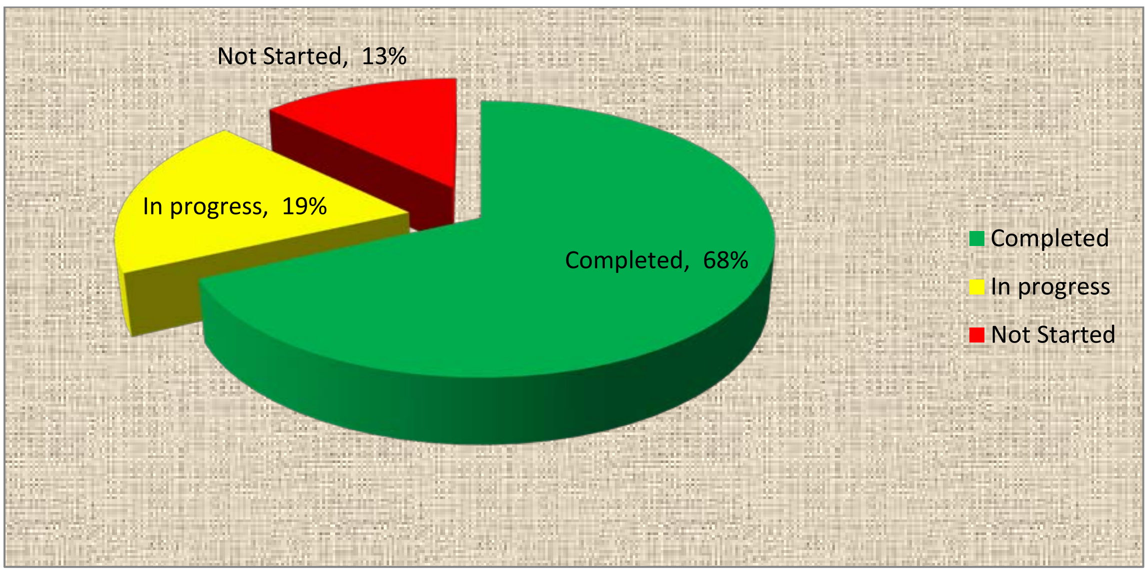
5. Activities are considered not ‘started ‘Where it has not commenced as at reporting period.

Executive Summary

The evaluation of the NEITI work plan covers the **period January- December 2018**. The overall performance of the evaluation report indicated that **68%** of the activities were completed, **13%** in progress and **19%** not started as at reporting period.

The General performance of the 2018 work plan implementation is represented in the diagram below.

General Performance chart



Objectives Implementation Evaluation

The Objectives Evaluation Indicates that **Objective 4** has the highest implementation level with **74%** the planned activities completed in the reporting period. This suggests enhanced operational capacity and support through effective administration and human resource management.

Objective 3 indicates that **40%** of the planned activities within the reporting period were not implemented (NOT STARTED)this is as a result of lack of funding and in some cases irregular release of funds which affect successful implementation of the planned activities.

This invariably affects the effort in deepening the strategic communication with critical stakeholders and empowerment of accountability actors.

Objective 2 indicates that **26%** of the planned activities are in progress as at the reporting period, this is as a result of the research being conducted that are beyond the reporting period and other studies that require inter agency collaboration and support, in addition to lack of funding to commence the research in time which is evidently clear that most of the research are donor funds supported.

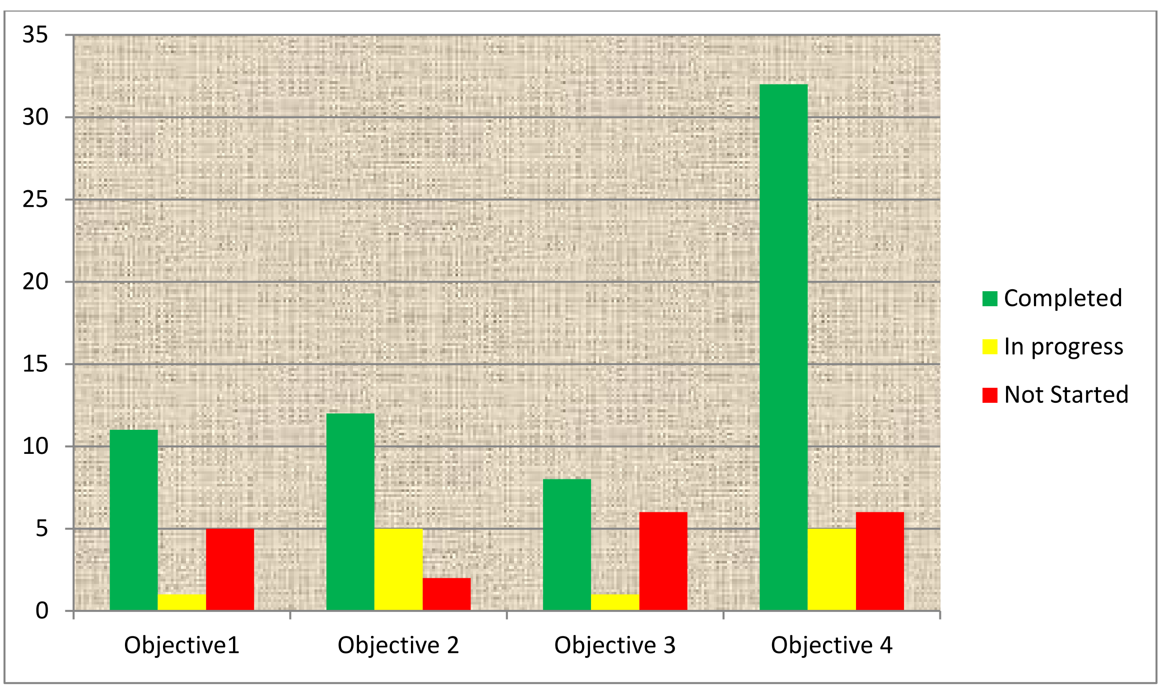
Objective 1 indicates that **29%** of the planned activities are not implemented; these activities however are Data automation related which is lacking the desired funding support to implement as at the reporting period.

Activities tailored toward the FASD are ongoing and will require time to complete beyond this evaluation report.

Table 1

Strategic Obj	1	2	3	4
Completed	65%	63%	53%	74%
In progress	6%	26%	7%	12%
Not started	29%	11%	40%	14%

Objectives Evaluation Chart



Departmental Activities Implementation Chart

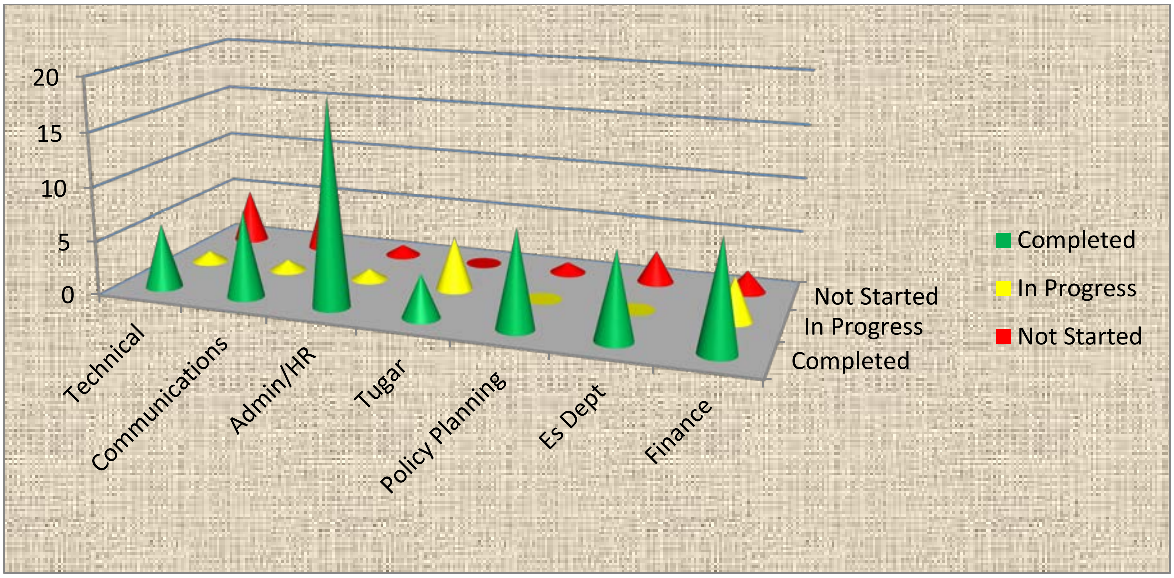
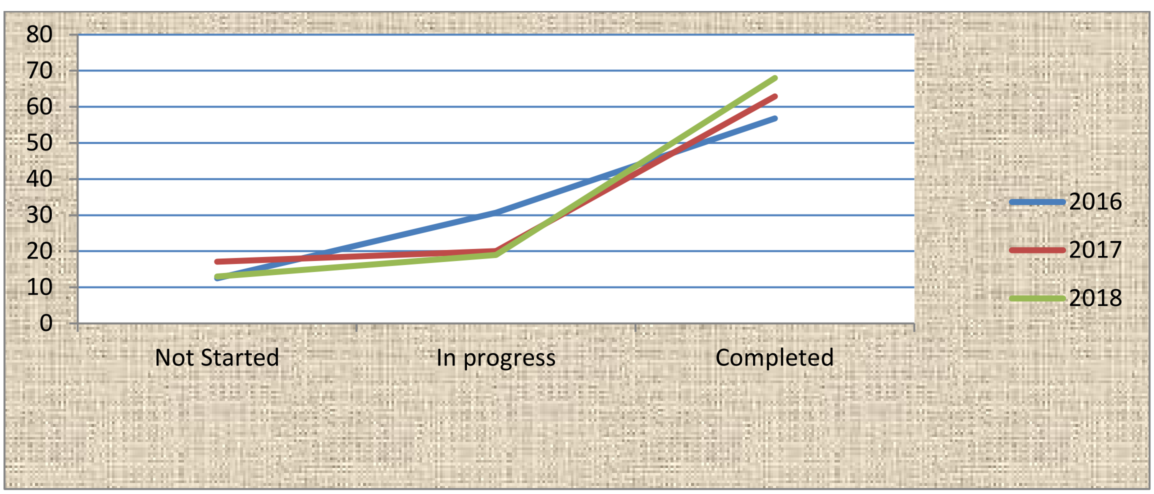


Table 2

General Performance Trend Analysis

YEAR	COMPLETED %	IN PROGRESS %	NOT STARTED %
2016	56.82	30.68	12.5
2017	62.85	20	17.15
2018	68	19	13



The trend analysis indicates a consistent improvement in the implementation of Activities from the year 2016-2018 i.e. 56% -68% and steady reduction in the activities in progress from 30%-19%. However it is evident that activities not started as at the end of the reporting period keep fluctuating demonstrating the volatility of the funding situation.

Generally the year 2018 has been very successful with a positive outlook in 2019.

Risk Factors

NEITI critical success factors in the implementation of our 2018 set objectives are:

1. Funding: Funding has been the major success factor in achieving our mandate thus its timely and regular availability and accessibility will aid successful implementation of our programs and objectives.

2. Management buy in in the evolution process of domesticating monitoring and evaluation at all level in the organization.

3. Limited capacity of personal in the Monitoring and evaluation unit.

Mitigation Factors

1. Donor Funding: NEITI will continue to approach our partners and willing donors to support our programs. Currently the World Bank, Trust Africa and the Ford Foundation have agreed to support some of our projects.

2. Management to set out session for work plan development, the Planning Monitoring &Evaluation unit is to develop template to fit into strategic goal of NEITI to meet the EITI requirement.

3. Capacity building is required for focal persons at departmental level to aid effective work plan development and Monitoring & Evaluation functions ion at the various levels.

Work Plan Activities Status

S/n	Activity	Objective	Start/End Month	KPI	Status of Activity (Items remove from WP should be mark not started.)			Outcome
	From work plan		From work plan	From work plan	Not Started	In Progress	Completed	As stated in the work plan
1.1	Oil and gas Audit 2016	Achieve Regular Reporting in Industry Audits	July - December 2018	Approved Oil & Gas Report				O&G Report
1.2	Solid Minerals Audit 2016/17		July - December 2018	Approve SM Report				SM Report
1.3	FASD Audit 2012/15		July - December 2018	Approve FASD Report				FASD Report
1.4	Data Automation Infrastructure Acquisition. (2017)	Automate NEITI Data Gathering Process	Mar-18	Installed Hard Ware				Improve Audit Process
1.5	Design and Develop Data Automation System Implementation		Jun-18	Installed Information Mgt. System				Improve Audit Process
1.6	Testing		Sep-18	Installed Information Mgt. System				Improve Audit Process
1.7	Deployment Cost.		Sep-18	Report				Improve Audit Process
1.8	Capacity Building (Purpose Made.)		Sep-18	Report				Improve Audit Process
1.9	Manages and Tracks Implementation of Remediation Action Plan Items For Previous Audit(s)	Implementation of Remediation issues from the	May-18	Report				No. of Reforms Initiated as a result of the Remediation

2.0	Outcome Assessment of all Remediation Activities		Sep-18	Report				No. of Reforms Initiated as a result of the Remediation
2.1	Develop a framework for remediation with active participation of TUGAR and anti-corruption agencies		Sep-18	Report				No. of Reforms Initiated as a result of the Remediation
2.2	The Effect of the Decline in the Price of Crude Oil on the Oil & Gas Sector in Nigeria.		Mar-18	Research Report / Paper				Improve Audit Process
2.3	Simplification of NEITI Industry Audit reports -Oil, gas, solid minerals and FASD with infographics		Mar-18	No of stakeholders provided with copies of NEITI simplified reports				Improved citizens knowledge on NEITI mandate, issues in the EI and the 2015 audit reports
2.4	Public presentation of the NEITI Industry Audit reports		Mar-18	Presentation of reports to stakeholders at an event organised by NEITI				
2.5	Production of NEITI branded materials for outreach activities		Mar-18	Number of branded items produced				
2.6	Presentation of the reports in- Awka, & Bauchi		Mar-18	3 presentations on EITI implementation held.				

2.7	Sensitisation workshop on natural resource governance to the Legislature		June-September 2018	2 Workshops held for the Upper and Lower chambers of the National Assembly.				Improved oversight and governance of the extractive sector in Nigeria.
2.8	Workshop for state excos and states assemblies on natural resource governance and domestication of the EITI		March-May, 2018	2 workshops held for state excos and the State Assemblies				
2.9	Town hall meetings with LGA excos, opinion/Community leaders/NGOs/CSOs/FBOs		April-August 2018	2 town hall meetings held at the grassroots & locals				
3.0	Youth/ Student Outreach on EITI.		January-July, 2018	I round of schools debate on NEITI issues.				
3.1	NEITI Annual Lecture		June-September 2018	1 annual lecture held.				Creation of accountability platforms for wider engagement and advocacy among state and non-state actors on extractive industries issues.
3.2	Capacity building for civil society organisations, media and professional bodies		March - October, 2018	2 workshops held for the civil society, media and professional associations.				
3.3	Productions of short video clips on EI and NEITI issues for upload on NEITI		January-March 2018	6 video clips and 6 infographs on NEITI/EITI issues produced				

3.4	Direct Imaging Printing Machine		March-October, 2018	Key remediation updates provided				Improved Technology and Capacity of Staff in direct image printing
3.5	Audio Visual Equipment (Studio Facilities)		May, 2018					
3.6	Capacity Building Videography Specialist.							
3.7	Scoping Survey / Gap Analysis of the Ethics Framework in Nigeria		Q1 - Q4	Report				
3.8	Publication and Launch of Ethics Scoping Survey Report		Q2	Report				
3.9	Implementation of the Budget Efficiency Project		Q1 - Q4	Key T&A Indicators developed and infused in M&E Indicators of the MBNP				
4.0	Development of a platform for updating anti-corruption database		Q1 - Q4	Platform for anti-corruption database developed				
4.1	Research Studies of Anti-Corruption and Governance Survey		Q1 - Q4	Report				
4.2	Implementation/ Monitoring & Evaluation of the National Anti-Corruption Strategy.		Q1 - Q4	Annual Report				
4.3	Corruption Risk Assessment in the Nigerian Ports - Implementation of Integrity Plan		Q1 - Q4	Report of meetings and reforms				
4.4	Implementation of the Integrity Plan in the MDG Related MDAs		Q1 - Q4	No. of reforms				
4.5	Review of Nigeria's Implementation of the UNCAC (2nd Cycle) and implementation of the Remediation Plan for the 1st review Cycle		Q1 - Q4	Country report and action plan No. of reforms				

4.6	Coordination of the Anti-Corruption Agencies on the platform of the IATT		Q1 - Q4	IATT meetings				
4.7	Joint Commemoration of the International Anti-Corruption Day		Q4	AC Day Celebrations				
4.8	Legal Retainership		Jan-Dec	Signed Contract				
4.9	NSWG Sitting allowance		Jan -Dec 18					
5.0	Round table meetings on compliance with covered entities		Mar, Jun, Sept & Nov	Minutes Of Meetings				
5.1	Round Table engagements on Recommendations from Audits for Oil and Gas, Solid Minerals and FASD		Sep-18	Resolve Issues from the Report				
5.2	Policy Papers, Development & production of policy briefs, Quarterly reviews and Occasion Paper series		Jan -Dec 18					
5.3	Stakeholders , engagements and advocacy on NEITI Policy briefs, Quarterly Reviews and Occasional Papers		Jan-Dec 18					
5.4	Track and Recommend Steps on Policy Issues from the Audits		Jun-18	Resolve Issues from the Report				
5.5	Conduct Research to Provide Policy Direction in Line with Evolving Global Trend in Oil & Gas Industry		Mar-18	Policy Document				
5.6	Review of NEITI Act		Jan-Dec	Reviewed Act				
5.7	Development of 2018 Annual work plan.		Jan	Develope d WP				
5.8	Monitoring & Evaluation, Development Of Framework for Implementation of M&E		Jan-Dec	M&E Reports				
5.9	Development of EITI Annual Activity Report		May- Jun	EITI Report				
6.0	Development of 2018 Annual Budget		Aug Sept	Annual Budget				
6.1	Development Of Risk Profile		Jan-Dec	Risk Profile Report				

6.2	Workshop on Internal Audit Process and procedures		Apr & Sept	Workshop Report				
6.3	Dev of Procurement Manual.		Jan-Dec	Procurem ent manual				
6.4	Procurements, Advertisement, EOI, Technical & Financial bids Evaluations.		Quarterly	Proc. EOIs				
6.5	**Pre Bid Meetings**		Mar & Jun	Meeting Report				
6.6	Procurement Workshop for consultants			Workshop Report				
6.7	Fixed Asset Management(Financial statement conversion)		Q1-Q4					
6.8	Compliance with financial regulations (IPSAS Conversion)		Q1-Q4					
6.9	Financial planning and cash flow management		Q1-Q4					
7.0	Advances management		Q1-Q4					
7.1	Vote Book Management		Q1-Q4					
7.2	Monitoring and review of procurement documentation		Q1-Q4					
7.3	Implementing Integrated personnel payroll Information System(IPPIS) -UPGRADE		Q1-Q4					
7.4	Implementing Government Information Financial Information System(GIFMIS) -Release 2		Q1-Q4					
7.5	Implementing of New Accounting software -SAP		Q1-Q4					
7.6	SAP Training & Certification for users		Q1-Q4					
7.7	Financial Reporting-Management, NSWG (Board),Donors & National Assembly		Q1-Q4					
7.8	Financial Performance management-Departmental activity report		Q1-Q4					
7.9	Financial Reporting-Monthly		Q1-Q4					

8.0	Donor reporting management (Consultancy and deployment of software)		Q1-Q4					
8.1	Attending to External Key Stakeholders : OAGF, MOF, MOB&N P, FIRS, FCT Inland Revenue Service (Logistics)		Q1-Q4					
8.2	Statutory Audit of NEITI Account		Q1-Q2					
8.3	Welfare of Staff		Jan, May, Sept., Dec 2018					
8.4	Staff Retreat		Jan-Dec 2018					
8.5	Training & Development		Jan-Dec 2018					
8.6	Performance Management		Jan-Dec 2018					
8.7	Office Rent		Jan-Dec 2018					
8.8	Purchase of ACs & Electrical Fittings		Jan-Dec 2018					
8.9	Purchase of materials, office supplies/stationaries & furniture		Jan-Dec 2018					
9.0	Payment of utility bills(Electricity, Telephone, Water, Sewage, Tenement rate)		Jan-Dec 2018					
9.1	Maintenance services & Safety (AC, Electricity, Plumbing, Furniture, vehicle, generator, fire extinguishers.		Q1-Q4					
9.2	Fuelling of generator & Pool Cars		Jan-Dec 2018					
9.3	Other Services (Cleaning/Fumigation & Security		Q1-Q4					
9.4	Refreshment & Meals		Q1-Q4					
9.5	Courier Services		Q1-Q4					
9.6	Insurance		Q1-Q4					
9.7	Travels Local		Q1-Q4					
9.8	Travels International.		Q1-Q4					
9.9	Hardware purchase		Q1-Q4					

10.0	Software purchase		Q1-Q4					
10.1	Systems maintenance		Q1-Q4					
10.2	Internet bandwidth renewal/upgrade		Q1-Q4					
10.3	Consultancy services		Q1-Q4					
10.4	Subscriptions, Consumables & Others		Q1-Q4					
	Total				**18**	**12**	**64**	**94**
	% of implementation				**19.14**	**12.76**	**68.08**	**100**